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MINNESOTA SECRETARY OF STATE OFFICE

Mary Kiffmeyer, Secretary of State

March 30, 2006

State HAVA Funding Reports
U.S. Election Assistance Commission
1225 New York Avenue, NW – Suite 1100
Washington, DC 20005

Election Assistance Commissioners:

Enclosed is the Financial Status Report for the State of Minnesota regarding HAVA Title II, Section 251 funds for the reporting period of October 1, 2004 to September 30, 2005.

Minnesota expended \$1,514,053.00 of the Title II, Section 251 funds during this time period. The report does not include interest accrued on HAVA funds and no interest income has been spent.

This report includes the Standard Form 269 (Long Form).


Sincerely,

Mary Kiffmeyer
Minnesota Secretary of State

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FINANCIAL STATUS REPORT
(Long Form)

(Follow instructions on the back)

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. Election Commission		2. Federal Grant or Other Identifying Number Assigned By Federal Agency HAVA Title II Payments		OMB Approval No. 0348-0039	Page of 1 1 pages
3. Recipient Organization (Name and complete address, including ZIP code) Office of the Minnesota Secretary of State 100 Rev. Dr. Martin Luther King Jr. Blvd., 180 State Office Building, St. Paul, MN 55155					
4. Employer Identification Number [REDACTED]		5. Recipient Account Number or Identifying Number		6. Final Report <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7. Basis <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual
8. Funding/Grant Period (See instructions) From: (Month, Day, Year) 10/1/2004		To: (Month, Day, Year) 9/30/2005		9. Period Covered by this Report From: (Month, Day, Year) 10/1/2004 To: (Month, Day, Year) 9/30/2005	
10. Transactions:		I Previously Reported	I This Period	III Cumulative	
a. Total outlays		0.00	1,514,053.00	1,514,053.00	
b. Refunds, rebates, etc.		0.00	0.00	0.00	
c. Program income used in accordance with the deduction alternative		0.00	0.00	0.00	
d. Net outlays (Line a, less the sum of lines b and c)		0.00	1,514,053.00	1,514,053.00	
Recipient's share of net outlays, consisting of:					
e. Third party (in-kind) contributions		0.00	0.00	0.00	
f. Other Federal awards authorized to be used to match this award		0.00	0.00	0.00	
g. Program income used in accordance with the matching or cost sharing alternative		0.00	0.00	0.00	
h. All other recipient outlays not shown on lines e, f, g and h		0.00	0.00	0.00	
i. Total recipient share of net outlays (Sum of lines e, f, g and h)		0.00	0.00	0.00	
j. Federal share of net outlays (line d less line i)		0.00	1,514,053.00	1,514,053.00	
k. Total unliquidated obligations				37,664,735.00	
l. Recipient's share of unliquidated obligations				0.00	
m. Federal share of unliquidated obligations				37,664,735.00	
n. Total Federal share (sum of lines j and m)				39,178,788.00	
o. Total Federal funds authorized for this funding period				39,178,788.00	
p. Unobligated balance of Federal funds (Line o minus line n)				0.00	
Program income, consisting of:					
q. Disbursed program income shown on lines c and/or g above				0.00	
r. Disbursed program income using the addition alternative				0.00	
s. Undisbursed program income				0.00	
t. Total program income realized (Sum of lines q, r and s)				0.00	
11. Indirect Expense	a. Type of Rate (Place "X" in appropriate box) <input checked="" type="checkbox"/> Provisional <input type="checkbox"/> Predetermined <input type="checkbox"/> Final <input type="checkbox"/> Fixed				
	b. Rate	c. Base	d. Total Amount	e. Federal Share	
12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.					
13. Certification: I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.					
Typed or Printed Name and Title Mary Kiffmeyer, Minnesota Secretary of State			Telephone (Area code, number and extension) (651) 296-2079		
Signature of Authorized Certifying Official 			Date Report Submitted March 30, 2006		



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Help America Vote Act (HAVA) Financial Status Report For January 1 – September 30, 2005

Minnesota received \$39 million in Title II funding. All the funding is being spent in accordance with the provisions of Section 251. The following is Section 1 of the Minnesota State Plan for HAVA regarding Title II Payments.

State of Minnesota HAVA State Plan - Section 1 Title II Payments

Section 1 of the Minnesota State Plan describes "how the State will use the requirements payment to meet the requirements of Title III, and, if applicable under Section 251(a)(2) *sic*, to carry out other activities to improve the administration of elections" as required by Public Law 107-252, Help America Vote Act of 2002, Section 254(a)(1).

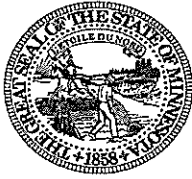
To meet the requirements of Title III, Minnesota will expend funds from the State HAVA account established by the 2003 Minnesota state legislature as directed by the legislature. (Laws 2003, First Special Session, Chapter 7, Sections 1 and 3).

Requirements payment expenditures will be used for the Title III purposes as described in State Plan Sections 1.1 through 1.7, by expenditures for:

- Equipment, software, technical or physical infrastructures, materials, services, salaries, overhead;
- Other expenses related to the activities described in this Section;
- Planning, development, implementation, documentation, maintenance and operation, and administration of the activities, and
- Outreach, education, and training to promote the activities

After certification by the State of Minnesota to the Election Assistance Commission that the requirements described in Title III of HAVA have been met, requirements payments received and earnings accrued in the fund may be expended for other purposes related to the improvement of the administration of federal elections, HAVA Section 251(b)(2), subject to legislative appropriation.

The State of Minnesota will use HAVA Title II funds, in addition to HAVA Title I funds, to plan, develop, and implement a uniform statewide voter registration system to meet HAVA Title III requirements.



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The system will:

- provide a unique identifier for every voter in the system;
- coordinate with other State agency's databases to permit verification of registration data;
- identify ineligible registrants;
- provide increased system access for local election administrators;
- permit the administration of requirements for voters who register by mail; and
- accommodate the use of Minnesota driver license numbers and Social Security information as identifiers in voter records.

System development will also modify existing system elements and functionalities to preserve system integrity, ensure quality and be sufficiently robust to support increases in the number of users.

The State of Minnesota operates on a fiscal year ending June 30th.

From October 1, 2004 to September 30, 2005, the Title II funds previously appropriated were expended as follows:

<u>Description</u>	<u>Expended Amount</u>
Modify Statewide Voter Registration System	\$1,186,214.00
Enhance the Statewide Voter Registration System	\$ 306,012.00
To make the Voter Regis. System available for use by local election officials	\$ 2,145.00
To assist local election officials using the statewide voter regis. System	\$ 1,122.00
To administer implementation of the Help America Vote Act & audit grants	\$ 7,003.00
To establish the state voting systems contracts	\$ 11,557.00
Total	\$1,514,053.00

Note: The expended funds were used for salaries & a contract for consultants.

Development of the Statewide Voter Registration System has continued to be modified and enhanced. Expenditures represent the necessary development staff (both internal and external), and other development activities.

These activities are described more fully below:

The HAVA-compliant statewide voter registration system in operation in Minnesota, in accordance with Section 303(a) – Computerized Statewide Voter Registration List, has been operational since June 21, 2004. Minn. Stat. § 201.022 was amended in Laws 2004, Chapter 293, to come into compliance with the requirements of HAVA for a voter-registration system,



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and the statewide voter registration system was brought into conformance with the statute. The amount indicated above was used to further modify and enhance the system.

In accordance with Section 303(a)(5)(B), the Secretary of State has entered into an agreement with the Department of Public Safety to verify voter eligibility information. The State system verifies records where information matches exactly. The SVRS System presents records with potential matches that are not exact matches to counties for determination.

In addition, the State of Minnesota has an agreement with the State's Court Administrator to receive non-electronic, paper information to identify voters who are ineligible due to legal incompetence and/or felony conviction. The office is in the process of implementing electronic data transfer of this information. Also, State court records are used to identify voters whose eligibility to vote has been restored (voters under guardianship with voting rights or voters with previous felony convictions whose sentences are completed and civil rights restored). State vital statistics death records are used to identify deceased voters. These state agency records are compared with the computerized voter list records.

During this reporting period, the statewide system has been further enhanced to provide a single, uniform, centralized, computerized voter list; an interactive capability so that any election official in an election office in the state has immediate, electronic access to the computerized voter list; the capacity to assign a unique identification number such as the driver's license number, last four digits of SSN, or other unique identifier for each legally registered voter; the capability for electronic matching of information in the computerized voter list with other state agency databases to verify voter eligibility (for instance, drivers' licenses and, in the future, SSN); and absentee ballot administration with an interactive capability so that any election official in an election office in the state has immediate, electronic access to computerized absentee ballot records.

Local election officials have "immediate electronic access" to the computerized voter list through computerized access to query voter registration information and to enter new or update existing voter registration information. During the time period of this report, development was continued in implementing view-only access for municipal clerks.

The system is designed to track which voter applications are received through the mail and to apply HAVA identification requirements, as applicable. When these records have been successfully matched against DVS records during the verification process, the system applies a flag to the voter record to indicate that the requirements of paragraph (1) of Section 303(b) are not applicable to that voter.